Action Plan

GALICIA

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Country	SPAIN
NUTS 2 region	Galicia
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Contents

Part 1: Policy context of Action Plan

Description of the regional Policy Context

The current Galicia´s RIS3 is aimed at fostering the region comparative and competitive advantages and its capacity for diversification, as well as meeting the main regional challenges

1. Innovative management of natural and cultural resources

2. The future industrial model of Galicia, by means of diversification of driving industrial sectors, improving industrial competitiveness and promoting knowledge economy.

3. A new healthy lifestyle model based on active ageing

The main goal of the Galicia´s RIS3 is to develop a solid regional innovation system able to support the transition to a growth model oriented towards firm competitiveness and high value added per employee in the current digital world.

In what regards RRI needs, the maturity report on Galicia RRI performance pointed out the main drawbacks of the region along the different RRI thematic elements.

Galicia has been benchmarked as substantial / moderate in open access / open science measures in research policies and calls for proposals.

Along other thematic elements, Galicia was graded as modest in Ethics and Science Education because of the lack of information at a programming level. Furthermore, Galicia has an important digital equality gap (knowledge and skills) mainly related to low digital capacities and skills among employees in SMEs. This gap to a large extent hinders the professional development opportunities of most employees and

SMEs outside tech sectors. This digital gap in capacities and skills not only puts at risk equal opportunities to qualified employment but also harms professional development and productivity decreasing prospects for augmenting high quality employment and reducing unemployment.

Accordingly, meeting the digital gap in capacities and skills becomes an important issue to activate participation in the new digital world. A more powerful way to access to the new skills required for a decent employ in the current wave of digitization and fourth industrial revolution must be implemented.

In Gender Equality Galicia was also rated as modest because the overall gender gap of core human resources in science and technology in Galicia (18.7%) was above the standard level (15%). Nevertheless, Galicia shows pronounced imbalances among different sectors: a large gap in business (46.7%), a small one in universities (6.1%) and an opposite case in Public bodies (-20.1%).

As for Public Engagement, the Galician maturity report highlighted a special need for improving Public perceptions on public involvement in science and technology because the Spanish average (20%) is well below the EU one (29%). This RRI Galician need must be specially addressed by increasing stakeholder's involvement in regional science and technology innovation policies and activities.

That was also the case with the thematic element of Governance. At a programming level there were no data available at programming level for funders' activities to promote RRI in the region, and on the other hand Galicia only counts with a limited extent of R&I networks.

RRI features, according to the lines above, will be introduced in the main policy measures along the Axis 1 of the ERDF Galicia 2014-2020 OP focused on enhancing Research, Technological Development and Innovation. Usual policy measures in this field can take advantage from the main strengths in the region, particularly the existence of scientific and knowledge-creation capabilities, industrial traditions and export-oriented industries, well-rooted clusters in key industries. As well as significant institutional features like: 1) the experience of designing current RIS3 in Galicia through a bottom-up approach and a wide social participation and 2) the pioneer role played by regional Government in deploying new tools of Public Procurement of Innovative Solutions and Goods (PPI).

Description of the need(s) to be addressed through the policy improvement

The main regional RRI needs in Galicia ask for actions on the thematic elements of Ethics and Science Education. They deserve a special attention in Galicia along the both lines of achieving a greater and better assessment of the broader impact of R&I programmes and projects in innovation policies (Ethics) and a greater awareness of the main RRI aims and concepts among research and innovation communities in the region (Scientific Education).

The Maturity Report in Galicia shows also a regional gap in the extent of R&I networks (platforms, hubs...). Accordingly, the scheme for the RRI Action Plan in Galicia must prioritize the objectives of a greater and better access to the new specific digital capacities and skills for employees and SMEs outside the tech sectors. Fostering Digital and NewTech Innovation is a key step for extending and reinforcing the innovation process. But they also must play a significant role in disseminating new specific knowledge and skills to palliate the digital equality gap, contributing to reinforce Open Access and Human Capacity building.

The RRI needs to be addressed have mainly to do with 1) widening impact assessment of along regional R&I programmes (Ethics), 2) increasing RRI awareness in Universities, technological centres and companies (Scientific Education) and 3) reinforcing communication and information channels among players and stakeholders of the Galician innovation ecosystem through PPI initiatives, DIH and others measures (Open Innovation and Human Capacity – Ethics-).

Description of the policy instrument selected for improvement

The policy instruments that constitute the focus of the Action Plan in its current form are mainly included in the Axis 1 of Galicia ERDF 2014-2020 OP as well as the Galician RIS3.

The most important ones are the following (description and goals):

- Reinforce R+D institutions and create/improve scientific and technological infrastructures.
- Enhance R+I activities led by firms, support innovative enterprises and innovative public procurement (PPI).
- Generate cutting-edge knowledge, develop emerging technologies and knowledge oriented towards societal challenges.

The policy supports public and private R+D Infrastructures, R+D processes in firms and research and technological organizations (RTOs), creation of R+D networks. It covers business R&I investment, product and service development, technology transfer, social innovation and public service application, demand stimulation, networking, clusters and open innovation.

Expected impact is increased regional socioeconomic development; reduced gap between regional public and private R+D+I investment; new and reinforced support and knowledge-exchange structures with capability to increase impact of new investments and their link to production of goods and services.

ERDF in Galicia is currently used to change the regional production model through knowledge-driven processes. This requires involvement of key stakeholders, who participated in Galicia RIS3 elaboration.

Participation of SMEs in innovation, private investment in R&I and technology transfer has not grown as expected. The same refers to regional capacity to attract, expand and retain innovation talent, as well as to take full advantage (by generating real value) from it RRI could provide solutions.

This approach was not considered at the time of elaborating RIS3 and could be a key change.

Description of the envisaged / enhanced policy context

Galicia has taken the decision to integrate RRI elements within its regional funding programmes to support R&D&I projects, mainly through the evaluation criteria integrated in calls for tenders and proposals. The specific RRI elements will be:

1. Ethics: Emphasizing impact assessments of R&I programmes and projects along three thematic areas and eight type of impacts

   – Thematic areas: 1) creating new products, processes policies & behaviour; 2) improving efficiency & efficacy of existing practise; 3) research to improve resilience & sustainability

   – Type of impacts: Economic, Societal, International engagement, Policy & public service, Health & well-being, Environmental, Professional Services, Human Capacity

2. Science Education: Disseminating the main RRI aims and concepts among research and innovation communities in the region to increase RRI awareness and facilitate the implementation of previous impact assessments in the portfolio of R&D and innovation programmes selected.

3. Open Innovation and Human Capacity[1] building: fostering dissemination of technological and innovation skills and building human capacity by means of bringing together the R&D&I Galician

ecosystem stakeholders, which will jointly promote the digitization of the industry, especially in strategic areas for Galicia, as stated in the RIS3.

- The envisaged policy context focuses on fostering the adoption and deployment of new technologies for the next digital transition in Galicia (.

- It aims at developing the key scientific-technological and organizational capacities with an important impact in the main strategic value chains for Galician industries. Human capacity and organizational building are instrumental to reinforce adaptation and transition in the current digital era.

- Galician PPI initiatives, particularly Civil Unmanned Aerial Vehicles (UAVs), are worth to mention. They aim at bringing leading technological companies to Galicia for developing ties with regional SMEs. More specifically, they are committed to implement partnerships and share projects with regional SMEs. This way leading tech companies became involved in developing professional and innovation talent exchanges with Galician SMES and their staffs.

A series of RRI provisions along these elements will be deployed within the portfolio of programmes for broadening RRI impact evaluation of policy measures implementation and for increasing RRI awareness in research and innovation communities.

1Human Capacity refers to the well-known concept of UNDP (1997) as "The develop of individuals, groups, organizations, institutions, and societies abilities – both individually and collectively – to set and achieve objectives, perform functions, solve problems and to develop the means and conditions required"

Part 2: Policy improvement and relevance to MARIE outputs

<table>
<tr><td colspan="2" align="center">The proposed policy improvement</td></tr>
<tr>
<td>Title</td>
<td>Introducing broader assessment of impacts (RRI criteria) into regional R&I programmes</td>
</tr>
<tr>
<td>Description of policy improvement</td>
<td>

Introducing broader assessments of impacts along main R&I programmes
Broader assessment of Impacts will be introduced in the Public Procurement of Innovative solutions and goods (PPI) corresponding to the so-called Civil UAVs Initiative (CUI), which aims to attract investments to develop aerospace industry in Galicia. It includes 2 PPI processes aimed to stimulate the development of technological solutions that can be installed onto aerial and marine unmanned vehicles. These solutions should help the regional administration improve some of their services, such as rescue at sea and areas of difficult access, prevention of forest fire and marine pollution, water management, etc.

The first PPI set of calls in this Initiative were launched in 2018 and 2019.
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<tr>
<td>Improvement over current policy instrument</td>
<td>

Currently impact assessments in RI policy are mainly focused on environmental and gender equality subjects. Impact assessments on broader lines are relatively limited
RRI scientific education is usually limited to ethical and research integrity and gender equality.
A new call will be organised, which content will be designed in 2020. It should be launched within the 2021 horizon. This new action will be endowed with a relative set of indicators for broadening the impact assessment and evaluation of these policy measures according to the selected best practice in RRI.

</td>
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<tr>
<td>Type of policy improvement</td>
<td>The proposed policy improvement fits in Type 2: change in the management of the policy instrument, by adopting new approaches thanks to the lessons learnt in other regions. In this specific case, by improving the way thematic calls are organised and projects selected.</td>
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<table>
<tr><td colspan="2" align="center">Impact of the proposed policy instrument</td></tr>
<tr>
<td>Impact of proposed policy improvement on the envisaged / enhanced policy context</td>
<td>

Events and activities disseminating knowledge and awareness o RRI
Attracting companies with a focus on aerospace industry to support capacity building in Galicia .
Increasd Galician competitiveness and competitive advantage in the global market of air traffic management in share spaces.
Regarding Galician SMEs:

  Access to cutting-edge technologies and industry 4.0
  Human capacity in digital knowledge and skills

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<tr>
<td>Elements of</td>
<td>The public procurement of Innovation (PPI) with the increased RRI component will improve the current policy context by:</td>
</tr>
</table>

improvement of the current policy context	– Expanding the range of regional public services provided in areas such as health, safety, forest, water and air management. – Creating of new instruments for territorial and environmental management. – Improving the qualification and expertise of the human and organizational resources of the Galician SMEs. – Improving the impact assessment of R+D+I initiatives with the introduction of a new approach.
Impact assessment indicators	The actual introduction of RRI in the description of the selected policy instrument – One CUI call for proposals/tenders launched with RRI elements integrated among its evaluation criteria – Number of proposals received under the call – Number of proposals complying with some of the RRI criteria included in the call – 2 dissemination events addressed to administrations and private innovation stakeholders

Relation of proposed policy improvement to Interreg Europe
Regional development programme(s) impacted by policy improvement

Regional development programme(s) impacted by policy improvement	• OP ERDF Galicia 2014-2020, AXIS 1: Enhancing Research, Technological Development and Innovation It will directly impact on the OP section "Galicia Transfer programme, providing support for knowledge transfer to the market place, including support for new products innovation at the level of concept tests and Innovative Public Procurement." Other sections that might integrate RRI criteria by December 2021 are – SME Innovate programme, support for innovative SMEs – Innovate in Galicia programme focused on mobilising and bringing in

	private capital.
	– Innovative entrepreneurship programme promoting innovation acceleration, as well as talent retention.
Potential impacts of the policy improvement on the selected regional development programme	The increased performance in terms of innovation in the Galicia´s economy will help to improve potential and expected impacts associated to the programmes and policy measures following quoted. The most important ones are the following (description and goals): • SME Innovate programme, support for innovative SMEs • Innovate in Galicia programme focused on mobilising and bringing in private capital. • Galicia Transfer programme providing support for knowledge transfer to the marketplace, including support for new products innovation at the level of concept tests and Innovative Public Procurement. • Innovative entrepreneurship programme promoting innovation acceleration, as well as talent retention. Public Procurement of Innovative Solutions and Goods (PPI) initiative and Private-Public Partnerships (PPP) initiative.
Achievement of potential impacts	The expected potential impacts mentioned above will be reached through the enlarged potential and performance of the Galician innovation system.

Policy improvement and relation to MARIE RRI concept		
MARIE RRI Concept Dimensions	**Components of RRI dimension**	**If yes, How?**
	Ethics	By widening the impact assessment of policy measures
	Science Education	By disseminating RRI knowledge and practices among Galician universities and RTOs
	Open Access	By narrowing the equality gap in specific digital capacities of employees and SMEs in Galicia.
	Open Innovation	By increasing cocreation and collaboration among different partners.
	Information and Tools	Mainly by bringing key expertise and tech-knowledge from leading companies through the PPI initiatives and other measures.
	Innovation Delivery	By collaborative partnerships among the players of regional innovation system through the sharing projects and business partnerships with leading tech companies. As an example, it is worth mentioning the Public Procurement of Innovative Solutions and Goods (PPI) Civil UAVs initiative and Private-Public Partnerships (PPP) HUBs initiative.
	Assessment	By reinforcing the assessments of RI programmes applications and broadening the range of impact statement evaluations

9

Good Practice used to define the Policy Improvement	
Title of Good Practice	Broadening the Scope of Impact
GP owner (region)	Science Foundation Ireland (SFI)
Can this GP address the policy need(s) identified in the previous section? If yes, how?	Yes, by means of paying attention to a broader impact assessment of R&I programmes and projects, including the equality gap of a large part of Galician employed population and SMEs in accessing to key knowledge and skills for becoming competitive and getting decent employment in the current wave of digitization and fourth industrial revolution.
What elements of this GP are included in the policy improvement presented in Table 4-1?	The broader impact assessment of RI programmes and projects along 3 thematic areas[2], as well as 8 type of impacts[3] highlights the role of important ethical subjects to be considered in impact statements and evaluations. It is case for areas like societal impact (equality gap in digital capacities of employees and SMES, health and wellbeing, environment and others).
Exchange / Transfer process	First notice about the GP was during the Project ILE 4, in Tampere (Finland) on 29th-30th May 2018. After the meeting, information was analysed, together with the other Gps presented. Initially, GAIN focused on other GP (specially on the *Entrepreneurial discovery process (EDP) management*, by DEV'UP Centre-Val de Loire) The focus was later reoriented to Broadening the Scope of Impact, and direct contact was made with SRA in order to gather further information. A specific bilateral meeting was hold during the ILE 7, in Kiel (Germany) on 13th – 14th June 2019, and a further meeting in Waterford (Ireland) was agreed, which finally took place on 29th July, involving SRA and GAIN staff members. The meeting was followed by exchange of information and documents.
Match between Regional RRI Maturity and selection of GP	There is a modest match.

Other elements of the MARIE Interregional Exchange process used to define the Policy Improvement
The selection of the GP was made based on the result of the maturity report elaborated in the region and subsequent matrix exercise matching the results in every region. As aforementioned, Galicia focused on the GP *Entrepreneurial discovery process (EDP) management*, by DEV'UP Centre-Val de Loire. However, the initiative implemented by Tampere, already during the Project Phase I and based on the Irish GP Broadening the Scope of Impact, was inspiring and influenced the final selection.

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[2] 1) creating new products, processes policies & behaviour; 2) improving efficiency & efficacy of existing practise; 3) research to improve resilience & sustainability

[3] Economic, Societal, International engagement, Policy & public service, Health & wellbeing, Environmental, Professional Services, Human Capacity

Part 3: Definition of actions and feasibility check

Stakeholders involved in the development and implementation of the policy improvement

Name of stakeholder	Aguas de Galicia Ms. Raquel Piñeiro / Ms. Mónica Velo
Type of stakeholder	Policy-making organisation
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions as member of a public administration body which could apply the same RRI improvements into othe OP Axis relevant for them
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not necessary

Name of stakeholder	Fund. Empresa-Universidad Gallega (FEUGA) Ms. Nuria Rodriguez
Type of stakeholder	Academia / Research

Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions, as well as providing science based to support decissions
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	**Centro Tecnológico del Mar (CETMAR)** **Ms. Rosa Fernandez / Ms. María Pérez**
Type of stakeholder	Policy-making organisation
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions as member of a public administration body which could apply the same RRI improvements into othe OP Axis relevant for them. She also has experience in RRI actions
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not necessary

Name of stakeholder	**Biopharma** **Ms. Mabel Loza / Mr. Jose Manuel Santamaría**
Type of stakeholder	Academia / Research
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions as beneficiary of GAIN grants
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	**Círculo Financiero de Galicia** **Mr. Marcos Balboa**
Type of stakeholder	Business
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions as beneficiary of GAIN grants
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	**Fed. de Asociaciones de Familiares y Personas con Enfermedad Mental (FEAFES Galicia)** **Mr. Antonio Hernandez**
Type of stakeholder	Civil society
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	Fundación Galicia Europa
	Mr. Fernando Souto
Type of stakeholder	Policy-making organisation
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions as member of a public administration body which could apply the same RRI improvements into othe OP Axis relevant for them
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not necessary

Name of stakeholder	Universidade da Coruña (UDC)
	Dr. Andres Faiña / Dr. Paulino Montes
Type of stakeholder	Academia / Research
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions, as well as providing science based to support decissions
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	**Galicia Federation of Fishers Organisations**
Type of stakeholder	Business
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions as beneficiary of GAIN grants and as a strong lobby organisation on innovation and modernisation
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	**Mr. Manuel Figueiras**
Type of stakeholder	Civil society
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Name of stakeholder	**Mr. Luis Ulloa**
Type of stakeholder	Civil society
Responsibilities / role within the development and implementation of the policy improvement	Providing input and participating in discussions
Does this organisation provide political backing to the implementation of the policy improvement? If yes, how?	Not

Specific tasks and timing

Task number	Task description	Timing
	Specific tasks and timing	
1	Meeting SRA by GAIN staff	In July 2019
2	Stakeholders workshop to validate approach of the Action Plan draft	In October 2019
3	Stakeholders meeting to validate definite Action Plan	In December 2019
4	Validation of the Action Plan by GAIN management	In December 2019
5	Meeting Ministry of Finance body responsible to coordinate OP changes	March 2020
6	Elaboration of proposal to be passed to the Task Force responsible to approve changes in the OP	By June 2020
7	Validation of the proposal by GAIN management	By July 2020
8	Presentation of the proposed policy improvement at Task Force semester meeting. The Task Force is responsible to monitor the ERDF implementation, and the semester meetings serve to review the OP requests for modification, and approve or disapprove them. It is participated by representatives of Spanish Treasury and all the Galician departments implementing the ERDF OPs.	July 2020
9	If OP improvements are approved (1), work with the Task Force to formalise the introduction of the necessary changes. If not approved (2), the 2 previous steps should be repeated	(1) By October 2020 (2) (1) By October 2021
10	Preparation of pilot application with the improvements. The elaboration will be a task of Gain Programme Department, as this is the Department that participated in MARIE and also responsible for the CUI and associated PPI calls. The staff has experience in the elaboration of such calls, and part of it has been directly involved in the MARIE activities.	By June 2020
11	Launching the call for proposals/tenders, following Gain mechanisms and procedures for this.	By January 2021
12	Evaluation of proposals in 3 stages, and according to Gain regulations and procedures: - by Gain staff, checking eligibility of the proposals - by external experts, focusing on the technical quality and innovations of the proposals fulfilling the eligibility criteria - by Gain staff, assessment of RRI components and final decision	By June 2021
13	Monitoring approved projects by Gain staff responsible for the CUI and MARIE Project, and regional stakeholders	By December 2021
14	Presentation and discussion about the call in a workshop with representatives of the public administration and other private stakeholders	By December 2021

Resources required for the development and implementation of the policy improvement

Resources for the development and implementation of policy improvement				
Type of resource	**Name of resource**	**Associated tasks**	**Timeframe of use**	**Financial cost**
Physical (e.g., facilities, buildings)	Own facilities	All	As necessary	No additional costs
Human (types of personnel)	GAIN Director	As for the list of actions above: 4, 7 & 8	As necessary	No additional costs
	GAIN officers	As for the list of actions above: 1, 2, 3, 5, 6, 9 & 10	The whole duration of the process	No additional costs
	Stakeholders	As for the list of actions above: 2, 3 & 10	The whole duration of the process	Meeting costs / catering
Intellectual (e.g., patents, proprietary knowledge)				
Technological (e.g., equipment)				
Other				

PESTL analysis of the external environment

PESTL analysis				
Factor type	**Factor title**	**Description of factor**	**Scope (international, national, regional)**	**Importance**
Political	Changes of staff at policy making levels	New policy makers arriving could not suppor the initiative and stop plans.	Regional; elections in Autumn 2020	Very high
Economic	Economic recession	Possibility of reduction or elimination grant echemes	Regional	High
Social / cultural				
Technological				
Legal /				

regulatory				

Enablers and barriers of Action Plan development and implementation

Enablers		
Enabler title	**Description of enabler**	**Importance of enabler and potential impact on development and implementation of policy improvement**
1	Ministry of Finance	High (responsible to provide guidance on how to introduce changes in the OP)
2	OP Task Force	Very High (they are responsible to approve changes in the OP)
3		
4		
…		
Barriers		
Barrier title	**Description of barrier**	**Importance of barrier and potential impact on development and implementation of policy improvement**
1	The regional innovation ecosystem	High (strong dissatisfaction with the intrduced changes could lead policy makers changing plans)
2		
3		
4		
…		

Transferability conditions and factors

Transferability factor		
Factor title	**Description of factor**	**Importance of factor and potential impact on regional transferability of policy improvement**
1	Flexibility of the funding system	It is an important factor. If there are fixed forms of project applications (for example standardized nationally) it can constrain the implementation of this action.
2		
3		
4		
…		
Funding sources		
Source	**Description**	
1	Own funds, already available for the implementation and monitoring of the Action Plan	

	By 30th November 2019, the definite budget for the call is under negotiation. 3M€ seem have been secured, and negotiations continue aimed to increase the final figure.	17
3		
4		
5		

Risks and mitigating actions

Risks and Mitigating Actions			
Title of risk	Description of risk	Level of probability (High, Medium, Low)	Description of mitigating action(s)

Any other issues

Please report any other issues you consider worthwhile or necessary for providing a clearer description of the development and implementation process of the policy improvement.

Feasibility check

Transfer and implementation team (Internal staff members and stakeholders)

Feasibility check items	Response	Comments / details:
Have you identified what skills are necessary to design and implement this policy improvement?	YES	Own staff are experienced in these type of actions
Have you checked which staff members / stakeholders were involved in the original GP? Are these the same in your local context?	YES	
Have you identified who is going to work on this measure from within the partner organisation?	YES/ NO / N/A	
Have you identified the most relevant stakeholders to work on the measure from outside the partners' organisation (stakeholders)?	N/A	
Have you contacted all the necessary	YES	

stakeholders (internal and external) and involved them in the measure?		
Have you discussed and allocated the role of each stakeholder?	YES	Partially; final agreements pending
Have you made agreements (formal / informal) with each stakeholders, defining their responsibilities and eventual compensation?	YES N/A	Partial agreements No compensations can be given due to internal rules

Technical feasibility check

Feasibility check items	Response	Comments / details:
Have you checked which facilities and equipment have been used in the original GP?	YES	
Have you identified what kind of facilities and equipment you need according to your local context?	YES	
Have you checked if these facilities and equipment are available either within your structure or stakeholders' structures?	YES	
Have you prepared a list of facilities and equipment you need to buy or rent?	N/A	
Are you aware of / have you checked the necessary procedures to buy or rent these facilities and equipment?	N/A	

Financial feasibility check

Feasibility check items	Response	Comments / details:
Have you checked the provisional budget and financing plan of the GPs considered in the proposed policy improvement?	YES	
Have you prepared a provisional budget for the policy improvement (proposed Implementation budget)?	N/A	Own funds and resources already available within the organisation
If needed, have you contacted an expert to assess global costs of the policy improvement (Implementation budget)?	N/A	
Have you validated the implementation budget with the transfer and implementation team?	N/A	
Have you identified all possible funding sources and created a financing plan?	YES	Only own resources necessary for the pilot implementation (action 10), and already planned from

Feasibility check items	Response	Comments / details:
		own budget
Have you determined cost distribution between partners and other funders?	N/A	

Legal /regulatory feasibility check

Feasibility check items	Response	Comments / details:
Have you checked the laws and regulations (local / regional / national) that could affect implementation of the policy improvement in your policy context?	YES	Prior to Action Plan elaboration
Have you checked if the policy improvement complies with these laws and regulations?	YES	
If needed, have you identified which adjustments have to be made to the policy improvement? Are they workable?	N/A	
Have you checked if these changes lead to additional costs? What are these costs?	N/A	
If applicable, have you determined who will cover these additional costs?	N/A	
Have you checked if changes require the involvement of stakeholders that were not originally involved in the transfer and implementation team?	YES	The Ministry of Finance in the regional government
Have these stakeholders been contacted and involved?	YES	Collaboration is permanent with them, and have committed to joining the stakeholders group in the 2nd Phase

Political and socio-cultural feasibility check

Feasibility check items	Response	Comments / details:
Have you analysed the social / cultural / political context in which the policy improvement will be implemented?	YES	Specially through the stakeholders group
Have you identified political risks and any necessary mitigating actions?	YES	Partially; not the mitigation actions
Have you identified social or cultural risks and any necessary mitigating actions?	YES	Partially; not the mitigation actions
Have you met local / regional politicians to explain and validate the project, if necessary?	YES	Dissemination has been made whithin the regional government, who will

Feasibility check items	Response	Comments / details:
		participate at the ILE in Santiago, November 28ᵗʰ 2019. Closer contact has been made with Regional Ministry of Finance
Have you planned / carried out a consultation phase with interested actors (e.g. beneficiaries, companies, local politicians, civil society representatives)?	YES	Specially through the stakeholders group and in communication with all the other reginal government departments

Implementation schedule

Feasibility check items	Response	Comments / details:
Are you aware of the schedule / timing of the planning and implementation of the GPs considered in the proposed policy improvement?	YES	
Have you set deadlines for the planning of the policy improvement with the transfer and implementation team?	YES/ NO / N/A	
Have you built a schedule of implementation actions?	YES	
Have you planned meetings of the transfer and implementation team?	YES/ NO / N/A	
Have you checked if there are any political constraints to take into account in the schedule?	YES	
Have you checked if there are any other significant events that could have an impact (positive or negative) on the implementation of the policy improvement?	YES	